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Docket No.: **NFCS-00-014**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2872

Examiner: N/A

Serial No.: 09/900,713

Filed: July 5, 2001

In re Application of: Huang, et al.

For: **OPTICAL ISOLATOR WITH REDUCED INSERTION LOSS AND  
MINIMIZED POLARIZATION MODE DISPERSION**

*Certificate of Mailing*

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Director of Patents, Washington, D.C. 20231 on September 21, 2001, Signed

  
Betty J. Pulido

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Director of Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sirs:

Responsive to the Notice to File Missing Parts dated August 23, 2001, please find enclosed herewith:

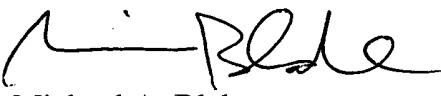
1. A Declaration and Power of Attorney executed by the Inventors;
2. Check in the amount of \$ 1468.00 for a large entity calculated as follows:

|                             |                  |
|-----------------------------|------------------|
| Filing Fee                  | \$ 710.00        |
| 26 Dependent Claims over 20 | \$ 468.00        |
| 2 Independent Claims over 3 | \$ 160.00        |
| Surcharge                   | \$ <u>130.00</u> |
| Total                       | \$ 1468.00       |

3. Copy of Notice to File Missing Parts.

In the event any variance exists between the amount enclosed and the patent office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-0612. An additional copy of this page is enclosed.

Respectfully submitted,  
Sierra Patent Group, Ltd.

  
Michael A. Blake

Reg. No. 42,333

Dated: September 27, 2001

Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449  
(775) 586-9500



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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|  |                     |                       |                        |
|--|---------------------|-----------------------|------------------------|
| APPLICATION NUMBER<br><i>OCT 01 2001<br/>PATENT &amp; TRADEMARK OFFICE</i> | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| 09900,713  | 07/05/2001          | Yonglin Huang         | NFCS-00-014            |

CONFIRMATION NO. 3966  
FORMALITIES LETTER



\*OC00000006463518\*

Timothy Brisson  
Sierra Patent Group  
P.O. Box 6149  
Stateline, NV 89449

Date Mailed: 08/23/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

10/03/2001 SMINASS1 00000009 500612 09900713      **FILED UNDER 37 CFR 1.53(b)**

|           |        |    |                     |
|-----------|--------|----|---------------------|
| 01 FC:101 | 740.00 | OP | Filing Date Granted |
| 02 FC:103 | 468.00 | OP |                     |
| 03 FC:102 | 168.00 | OP |                     |
| 04 FC:105 | 38.00  | CH |                     |

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$628.
  - \$468 for 26 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1468.**

*A copy of this notice **MUST** be returned with the reply.*

Thomas Broth  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



109028500

0416

Receipt

Docket No.: NFCS-00-014

#55  
0416

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

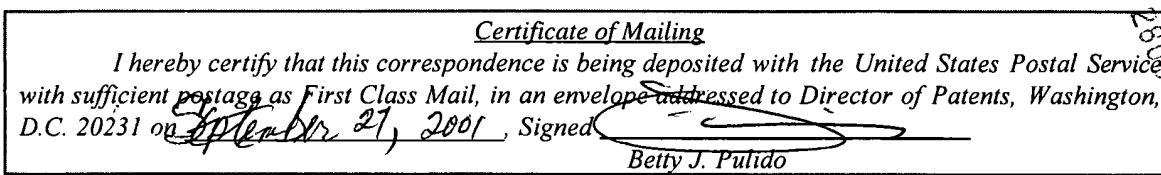
Art Unit: 2872

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For: **OPTICAL ISOLATOR WITH REDUCED INSERTION LOSS AND  
MINIMIZED POLARIZATION MODE DISPERSION**

10-1844  
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**REQUEST TO CORRECT FILING RECEIPT**

Director of Patents  
Customer Service Center  
Washington, D.C. 20231

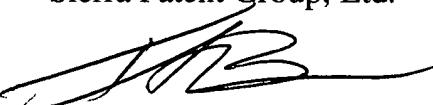
Sir:

It is respectfully submitted that the Filing Receipt in the above-identified application is incorrect for the following reason:

**“Focus, Inc.” should read –New Focus, Inc... .**

Please issue a corrected filing receipt.

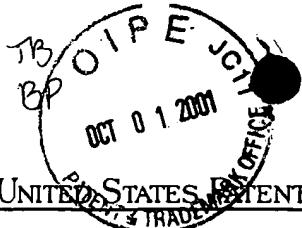
Respectfully submitted,  
Sierra Patent Group, Ltd.



Timothy A. Brisson  
Reg. No.: 44,046

Dated: September 21, 2001

Sierra Patent Group, Ltd.  
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Stateline, NV 89449  
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | FATTY       | DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-------------|-----------|----------|------------|------------|
| 09/900,713         | 07/05/2001  | 2872         | 0.00          | NFCS-00-014 |           | 6        | 46         | 5          |

NOV 30 2001

2001

Timothy A. Brisson  
 Sierra Patent Group  
 P.O. Box 6149  
 Stateline, NV 89449



CONFIRMATION NO. 3966

## FILING RECEIPT



\*OC000000006463517\*

Date Mailed: 08/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yonglin Huang, Residence Not Provided;  
 Ping Xie, Residence Not Provided;  
 Xin Luo, Residence Not Provided;  
 Liren Du, Residence Not Provided;

## Assignment For Published Patent Application

*NEA* Focus, Inc.;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/240,441 10/13/2000

## Foreign Applications

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Optical isolator with reduced insertion loss and minimized polarization mode dispersion

Preliminary Class

359

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Data entry by : ARAYA-MEDHIN, ZEKARIAS

Team : OIPE

Date: 08/23/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

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Office of Initial Patent Examination  
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Washington, DC 20231